

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



28/15

RE APPLICATION OF: Yasuo OHBA, et al.

SERIAL NO: 09/915,710

GAU: 2815

FILED: July 27, 2001

EXAMINER: Jerome JACKSON JR.

FOR: SEMICONDUCTOR DEVICE AND METHOD OF FABRICATING THE SAME

#12 DS
10-2-02
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INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

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RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF RELATED CASES

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<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
0039-6774-2SRD	6,242,764	06/05/01	OHBA, et al.
0039-5682-2SRD	5,990,495	11/23/99	OHBA
0039-5107-2SRD	5,656,832	08/12/97	OHBA, et al.
0039-6131-2SRD CIP	5,909,040	06/01/99	OHBA, et al.
0039-6116-2SRD	5,929,466	07/27/99	OHBA, et al.
225255US0 RD	10/188,744	07/05/02	PENDING
211836US2 SRD*	09/915,710	07/27/01	PENDING

*Present application; listed for information.